Aspect Oriented Software Fault Tolerance

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Abstract
Software fault tolerance demands additional tasks like error detection and recovery through executable assertions, exception handling, diversity and redundancy based mechanisms. These mechanisms do not come for free, rather they introduce additional complexity to the core functionality. This paper presents light weight error detection and recovery mechanisms based on the rate of change in signal or data values. Maximum instantaneous and mean rates are used as plausibility checks to detect erroneous states and recover. These plausibility checks are exercised in a novel aspect oriented software fault tolerant design framework that reduces the additional logical complexity. A Lego NXT Robot based case study has been completed to demonstrate the effectiveness of the proposed design framework.

Keywords: aspect oriented design and programming, executable assertions, exception handling, fault tolerance, plausibility checks.

1. Introduction
Adding fault tolerance measures to safety critical and mission critical applications introduces additional complexity to the core application. By incorporating handler code, for error detection, checkpointing, exception handling, and redundancy/diversity management, the additional complexity may adversely affect the dependability of a safety critical or mission critical system.

One of the solutions to reduce this complexity is to separate and modularize the extra, cross-cutting concerns from the true functionality.

At the level of design and programming, several approaches have been utilized that aim at separating functional and non-functional aspects. Component level approach like IFTC [5], computational reflection and meta-object protocol based MOP [6] have shown that dependability issues can be implemented independently of functional requirements.

The evolving area of Aspect-Oriented Programming & Design (AOP&D) presents the same level of independence by supporting the modularized implementation of crosscutting concerns. Aspect-oriented language extensions, like AspectJ[7] and AspectC++[1] provide mechanisms like Advice (behavioural and structural changes) that may be applied by a pre-processor at specific locations in the program called join point. These are designated by pointcut expressions. In addition to that, static and dynamic modifications to a program are incorporated by slices which can affect the static structure of classes and functions.

In the context of fault tolerance, an induced fault can activate an error that changes the behaviour of the program and may lead to system failure. In order to tolerate a fault, abnormal behaviour must be detected and transformed back by introducing additional behaviour changes (Exception Handler) or alternate structure adoption (Recovery Blocks, N-Version Programming) strategies.

The rate of change (ROC) of signals or data can be used to detect erroneous conditions that can help in tolerating faults and avoiding failures by triggering appropriate recovery mechanisms. ROC-based plausibility checks for error detection and recovery in the form of executable assertions have been addressed by [2] [3]. In [4] the author utilizes dynamic signal values for modeling and predicting future sensor values. Unfortunately, these mechanisms will add to the complexity of the true functionality that could affect the overall dependability of the system. None of the previous studies propose the separation of these error handling concerns from true functionality. However Aspect Oriented Design and Programming approaches may be used to separate out these concerns from the true functionality of a computer based system.

In this paper the rate of change based executable assertions have been extended with more refined time bounded instantaneous and mean rate checks that reduce false positives and false negatives. Secondly an empirical method for determining the maximum instantaneous and mean rates of change has been devised.

The current work also proposes generalized aspect-oriented software fault tolerance design patterns. These design solutions provide an implementation framework to incorporate and validate the proposed ROC-based checks.
2. ROC Plausibility Checks & Recovery
In order to apply various plausibility checks, it is first necessary to determine the characteristic range of values for key variables/signals. Most real-time sensors monitor continuous signals that may be monotonic or random. Further more, the monotonic signals may have static or dynamic rates. Continuous signals can be classified on the basis of the above criteria, as tabulated below. The characteristic parameters have also been assigned to various classes of signals for clarity and differentiation. The characteristic parameters of variables assigned here are \( y_{\text{max}} \) (maximum value), \( y_{\text{min}} \) (minimum value), \( r_{\text{max-inc}} \) (maximum increase/sample time), \( r_{\text{min-inc}} \) (minimum increase/sample time), \( r_{\text{max-decr}} \) (maximum decrease/sample time), \( r_{\text{min-decr}} \) (minimum decrease/sample time).

<table>
<thead>
<tr>
<th>Signal Type</th>
<th>Parameters</th>
</tr>
</thead>
<tbody>
<tr>
<td>Static monotonic (↑)</td>
<td>( r_{\text{max-inc}} &gt; y_{\text{min-inc}} &gt; 0 ), ( r_{\text{max-decr}} &gt; y_{\text{min-decr}} = 0 )</td>
</tr>
<tr>
<td>Static monotonic (↓)</td>
<td>( r_{\text{max-inc}} = y_{\text{min-inc}} = 0 ), ( r_{\text{max-decr}} = y_{\text{min-decr}} &gt; 0 )</td>
</tr>
<tr>
<td>Dynamic monotonic (↑)</td>
<td>( r_{\text{max-inc}} &gt; y_{\text{min-inc}} = 0 ), ( r_{\text{max-decr}} = y_{\text{min-decr}} = 0 )</td>
</tr>
<tr>
<td>Dynamic monotonic (↓)</td>
<td>( r_{\text{max-inc}} = y_{\text{min-inc}} = 0 ), ( r_{\text{max-decr}} = y_{\text{min-decr}} = 0 )</td>
</tr>
<tr>
<td>Random</td>
<td>( r_{\text{max-inc}} \geq y_{\text{min-inc}} \geq 0 ), ( r_{\text{max-decr}} \geq y_{\text{min-decr}} \geq 0 )</td>
</tr>
</tbody>
</table>

3. ROC Plausibility based Executable Assertions
Error detection is the basic step in deploying any fault tolerance strategy. Executable assertions are often utilized as one error detection mechanism. ROC-based plausibility checks on input signals may be used to detect some erroneous conditions that could lead to failure. Although ROC-based executable assertions have been addressed in [2], these constraints are based on changes in variable values but without time boundedness. However the true rate of change should employ the change in variable values in a specified time interval. Without considering a time boundary, there are more chances to have false positives and false negatives. Thus for bounded \( dy \), there exists \( dy \) such that

\[
\left( \frac{dy}{dt} \right)_{\text{min}} \leq \frac{dy}{dt} \leq \left( \frac{dy}{dt} \right)_{\text{max}} \quad [4].
\]

Moreover the signal configuration parameters like \( r_{\text{max}} \) and \( r_{\text{min}} \) should also be calculated keeping in view the time consideration. The set of plausibility checks exercised in our study are tabulated below.

4. ROC Plausibility Based Recovery
When an error is detected a recovery mechanism is brought into service to avoid a failure and so tolerate the fault. The recovery mechanisms employed here are managed on the basis of running trends. The faulty data is replaced by computed values derived from past values and some increment based on the maximum and minimum rates of change. However, the forcefully assigned values are kept within the maximum and minimum data ranges.

<table>
<thead>
<tr>
<th>ROC Assertion (PC)</th>
<th>Recovery Mechanism</th>
</tr>
</thead>
<tbody>
<tr>
<td>Case: ( y_i &gt; y_{i-1} ) (Increase)</td>
<td>( y_r = y_{i-1} + r_{\text{max-inc}} \Delta t_{i-1} )</td>
</tr>
<tr>
<td>PC1: ( \frac{y_i - y_{i-1}}{t_i - t_{i-1}} \leq r_{\text{max-inc}} )</td>
<td>if ( y_{\text{max}} - y_{i-1} \geq r_{\text{min-inc}} \Delta t_{i-1} ) then ( y_r = y_{i-1} + r_{\text{min-inc}} \Delta t_{i-1} ) else ( y_r = y_{\text{max}} )</td>
</tr>
<tr>
<td>PC2: ( \frac{y_i - y_{i-1}}{t_i - t_{i-1}} \geq r_{\text{min-inc}} )</td>
<td></td>
</tr>
<tr>
<td>Case: ( y_i &lt; y_{i-1} ) (Decrease)</td>
<td>( y_r = y_{i-1} - r_{\text{max-decr}} \Delta t_{i-1} )</td>
</tr>
<tr>
<td>PC3: ( \frac{y_i - y_{i-1}}{t_i - t_{i-1}} \leq r_{\text{max-decr}} )</td>
<td>if ( y_{\text{max}} - y_{i-1} \geq r_{\text{min-decr}} \Delta t_{i-1} ) then ( y_r = y_{i-1} - r_{\text{min-decr}} \Delta t_{i-1} ) else ( y_r = y_{\text{min}} )</td>
</tr>
<tr>
<td>PC4: ( \frac{y_i - y_{i-1}}{t_i - t_{i-1}} \geq r_{\text{min-decr}} )</td>
<td></td>
</tr>
</tbody>
</table>

5. Aspect Oriented Exception Handling Patterns
Exception handling has been deployed as a key mechanism in implementing software fault tolerance through forward and backward error recovery mechanisms. It provides a convenient means of structuring software that has to deal with erroneous conditions [11]. In [8], author addresses the weaknesses of exception handling mechanisms provided by mainstream programming languages like Java, Ada, C++, C#. In his experience exception handling code is inter-twined with the normal code. This hinders maintenance and reuse of both normal and exception handling code. Moreover as argued by [9], exception handling is difficult to develop and has not been well understood. This is due to the fact that it introduces additional complexity and has been misused when applied to a novel application domain. This has further increased the ratio of system failures due to poorly designed fault tolerance strategies. Thus fault tolerance measures using exception handling should make it possible to produce software where (i) error handling code and normal code are separated logically and physically; (ii) the impact of complexity on the overall system is minimized; and (iii) the fault tolerance strategy may be maintainable and evolvable with increasing demands of dependability.
In this respect, [6] has proposed an architectural pattern for exception handling. They address the issues like specification and raising of exceptions, specification and invocation of handlers and searching of handlers. These architectural and design patterns have been influenced by computational reflection and meta-object protocol. However, most meta-programming languages suffer performance penalties due to the increase in meta-level computation at run-time. This is because most of the decisions about semantics are made at run-time by the meta-objects, and the overhead to invoke the meta-objects reduces the system performance [10]. Therefore we propose generalized aspect based patterns for monitoring, error detection, exception raising and exception handling using a static aspect weaver. These patterns would lead to integration towards a robust and dependable aspect based software fault tolerance. The following design notations have been used to express aspect-oriented design patterns.

5.1. Error Detection and Exception Throwing Aspect
Error detection and throwing exceptions has been an anchor in implementing any fault tolerance strategy. This aspect detects faults and throws range, input and output type of exceptions. The overall structure of this aspect is shown below. The GenThrowAspect join points the NormalClass via three pointcut expressions for each type of fault tolerance case.

**RangeErrPc**: it join points the contextMethod() only. It initiates a before advice to check the range type errors before executing the contextMethod(). Incase the assertions don’t remain valid or acceptable behavior constraints are not met, RangeErrExc exception is raised.

**InputErrPc**: it join points the contextMethod() further scoped down with input arguments of the contextMethod(). It initiates a before advice to check the valid input before the execution of the context method. Incase the input is not valid it raises InputErrExc.

**OutputErrPc**: it join points the contextMethod() further scoped down with results as output of the contextMethod(). It initiates an after advice to check the valid output after the execution of the context method. Incase the output is not valid it raises OutputErrExc.

5.2. Rate of Change Plausibility Check Aspect
This aspect is responsible for checking the erroneous state of the system based on the rate of change in critical signal/data values. Once an erroneous state is detected, the respective exception is raised. Various exceptions are also defined and initialized in this aspect. The pointcut GetSensorData defines the location where error checking plausibility checks are weaved whenever a critical data/sensor reading function is called. The light weight ROC-based plausibility assertions are executed in the advice part of this aspect.
5.3. Catcher Handler Aspect
The CatcherHandler aspect as shown below is responsible for identifying and invoking the appropriate handler. This pattern addresses two run-time handling strategies. The first strategy is designated by an exit_main pointcut expression. It checks the run-time main() function for various fatal error exceptions and finally aborts or exits the main program upon error detection. This aspect may be used to implement safe shut-down or restart mechanisms in safety critical systems to ensure safety, if a fatal error occurs or safety is breached.

The second strategy returns from the called function as soon as the error is detected. The raised exception is caught after giving warning or doing some effective action in the catch block. This can help in preventing error propagation. Using this aspect, every call to critical functions is secured under a try/catch block to ensure effective fault tolerance against an erroneous state.

It can be seen in the diagram below that exit_main pointcut expression join points the main() run-time function. Whereas caller_return pointcut expression join points every call to the contextMethod(). Moreover exit_main and caller_return pointcut expressions are associated with an around advice to implement error handling. The tjp→proceed() allows the execution runtime main() and called functions in the try block.

The advice block of the catcher handler identifies the exception raised as a result of in-appropriate changes in the rate of signal or data. Once the exception is identified, the recovery mechanism is initiated that assign new values to signal or data variables based on previous trends or history of the variable.

5.4. Dynamics of Exception Handling Aspect
This scenario shows an error handling aspect. It simulates two error handling strategies. In the first case, control is returned from the caller to stop the propagation of errors along with a system warning. In the second case the program exits due to a fatal error. This may be used to implement shutdown or restart scenarios. Moreover the extension of a class member function with a try block is also explained.

1. A client object invokes the contextMethod() on an instance of NormalClass.
2. The control is transferred to CatcherHandler aspect that extends the contextMethod() by wrapping it in a try block and executes the normal code.
3. In case an exception is raised by previous aspect, the exception is catch by the CatcherHandler aspect. This is shown by the catch message. The condition shows the type of exception e to be handled by the handler aspect.
4. CatcherHandler aspect handles the exception e. the caller_return strategy warns or signals the client about the exception and returns from the caller. The client may invoke the contextMethod2() as appropriate. In exit_main strategy, the control is returned to client that exits the current instances as shown by the life line end status.
6. Case Study
In order to validate aspect oriented fault tolerance patterns for exception handling and executable assertions as proposed earlier, a case study has been carried out using a LEGO NXT Robot (Tribot). This uses an Atmel 32-bit ARM processor running at 48 MHz. Our development environment utilizes AspectC++ 1.0pre3 as aspect weaver [1].
The Tribot has been built consisting of two front wheels driven by servo motors, a small rear wheel and an arm holding a hockey stick with the help of some standard Lego parts. Ultrasonic and light sensors are also available for navigation and guidance purposes.
An interesting task has been chosen to validate our design. In this example Tribot hits a red ball with its hockey stick avoiding the blue ball placed on the same ball stand. It makes use of the ultrasonic and light sensors to complete this task. This task is mapped on a goal-tree diagram as shown below.

![Goal Tree Diagram](image)

Figure 6 Lego NXT Robot Case Study: Goal Tree Diagram

Any deviation in full-filling the OR goals and corresponding AND sub-goals is considered as a mission failure.

6.1. Calculating ROC Plausibility Parameters
$r_{\text{max}}$, $r_{\text{min}}$ correspond to maximum and minimum rate of change of a signal value. These are directly related to the time constant ($\tau$) of the signal/variable under test. The physical environment of the system also dictates these parametric values. Since the behavior of a signal may vary during different modes of operation of a system as argued by [2], it necessary to identity the characteristics ($r_{\text{max}}$, $r_{\text{min}}$) for every such mode of operation. A generalized mechanism has been formalized as discussed below:
Suppose $y(t)$ is the single/variable value at any sampling time instant $t$. Then the rate of change of the signal/variable value over a sampling time interval $[t_{i-1} \rightarrow t_i]$ is represented by the slope of signal between these consecutive time intervals. This can be expressed formally as:

$$r_i(\text{rate}) = \frac{dy}{dt} = \lim_{\Delta t \to 0} \left( \frac{\Delta y}{\Delta t} \right) = \frac{y_i - y_{i-1}}{t_i - t_{i-1}}$$

Where $i = 2, \ldots, N$, $N=\text{total signal samples}$
From the above it follows:

$$r_{\text{max-incr}} = \frac{y_i - y_{i-1}}{t_i - t_{i-1}} \cdot \frac{dy}{dt} > 0 \quad \text{................. (1)}$$

$$r_{\text{min-incr}} = \frac{y_i - y_{i-1}}{t_i - t_{i-1}} \cdot \frac{dy}{dt} > 0 \quad \text{............... (2)}$$

$$r_{\text{max-decr}} = \frac{y_i - y_{i-1}}{t_i - t_{i-1}} \cdot \frac{dy}{dt} < 0 \quad \text{................. (3)}$$

$$r_{\text{min-decr}} = \frac{y_i - y_{i-1}}{t_i - t_{i-1}} \cdot \frac{dy}{dt} < 0 \quad \text{............... (4)}$$

Applying the above formalism to ultrasonic sensor data, the following set of ROC parameters have been determined. They will be used during the plausibility checks.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>$r_{\text{max-incr}}$</td>
<td>40 cm/sec</td>
</tr>
<tr>
<td>$r_{\text{max-decr}}$</td>
<td>30 cm/sec</td>
</tr>
<tr>
<td>$r_{\text{min-incr}}$</td>
<td>0 cm/sec</td>
</tr>
<tr>
<td>$r_{\text{min-decr}}$</td>
<td>0 cm/sec</td>
</tr>
<tr>
<td>$y_{\text{max}}$</td>
<td>255 cm</td>
</tr>
<tr>
<td>$y_{\text{min}}$</td>
<td>0 cm</td>
</tr>
</tbody>
</table>

7. Results & Discussion
The dependability assessment of the proposed scheme has been done via fault injection. All the faults are injected into the most critical functionality of the system, that is reading the ultrasonic sensor, light sensor, motor speed sensor and writing motor servo commands. The faults are injected by supplementary code in an aspect oriented way using AspectC++ [1]. The faults injected are permanent stuck, noise bursts and random spikes at pre-defined or random locations.
These faulty data scenarios may simulate both permanent and transient faults originating in a faulty hardware, software or corrupted environment within or outside a computer-based system.
Although ROC-based plausibility checks are very effective in detecting faulty data values, yet a number of false positives and false negatives were generated. The proposed recovery mechanism deviates if faults persist for a longer duration as shown in figure 7.
Since \( y_r = \phi(y_{\text{old}}, r_{\text{max}}) \), it can be seen in the figure above that the scheme deviates if the faulty data persists for longer time duration. This is due to the fact that more positive or negative bias equivalent \( r_{\text{max}} \Delta T \) or \( r_{\text{min}} \Delta T \) has is added to or remove from the previous data values. Suppose the fault persists for \( n \) data samples, the predicted bias added to the previous stable non faulty value \( y_{\text{old}} \) is \( nr_{\text{max}} \Delta T \). Now if next sample contains a non faulty data value. The check \( y_i - y_{i-1} > r_{\text{max}} \) is satisfied and new data value is marked faulty resulting in a false negative.

**Solution:** We propose an \( r_{\text{mean}} \) constraint apart from instantaneous \( r_{\text{max}} \) check. The mean or average rate \( r_{\text{mean}} \) is measured from a fixed point or moving point on the trajectory.

\[
r_{\text{mean}} = \frac{y(i) - y(k)}{t(i) - t(k)}, \quad k < i \quad \text{.......................... (5)}
\]

In order to attain \( r_{\text{mean}} \) for ultrasonic sensor data/variable, autoregressive moving average with exogenous inputs (ARMAX) parametric model is used. This has been done by best fit of Tribot speed as input and ultrasonic distance (range) as output. Finally the average distance and average velocity profiles are obtained as shown below.

![Figure 7 Limitations of Rmax Check and Rmean Proposal](image)

The recover mechanism as proposed earlier has also been modified keeping in view that \( y_r = \phi(y_{\text{old}}, r_{\text{max}}) \) is not the true representation of previous trends. We can make much better estimates if we at least take into account the rate of the last stable check-pointed data. Thus we propose:

- **Case:** \( r_{\text{old}} > 0 \) \( \uparrow \), \( y_r = y_{i-1} + r_{\text{mean-incr}} \Delta T_{i-1-w} \)

- **Case:** \( r_{\text{old}} < 0 \) \( \downarrow \), \( y_r = y_{i-1} - r_{\text{mean-decr}} \Delta T_{i-1-w} \)

\[ r_{\text{old}} = \frac{y(i-1) - y(i-n)}{t(i-1) - t(i-n)} \text{, measured from last } n \text{th sample.} \]

### 7.1. Fixed First Point of Slope

If \( r_{\text{mean}} \) is measured from the fixed point say the initial starting point then from equation (5) it follows:

\[
r_{\text{mean}} = \frac{y(i) - y(1)}{t(i) - t(1)} \quad \text{.......................... (6)}
\]

Now \( y(1) \) and \( t(1) \) are constants and if \( y(i) - y(1) << t(i) - t(1) \), \( r_{\text{mean}} \) reduces with time. This can be expressed more formally as: If \( \Delta T \to \infty \) \( r_{\text{mean}} \to 0 \).

It postulates that a fixed point of slope is feasible for faulty data values closer to the starting point of slope. This is demonstrated in the Lego NXT Case as shown in figure 8.

![Figure 8 ARMAX Based Mean Rate](image)

### 7.2. Moving First Point of Slope

In this \( r_{\text{mean}} \) is measured from first point of slope moved after \( m \) samples thus the first point of slope consists of set \( \{y(0), y(m), y(2m)\ldots\} \). Here \( m \) is the size of the window for calculating \( r_{\text{mean}} \). Thus the first point of slope for rate measurement is shifted every \( m \) samples.

Generally the first point of the slope for rate measurement consists of a set \( y(k) \) such that \( k \) is an integral multiple of \( m \) i.e. \( k=jm \), where \( j = \{0,1,2\ldots\} \).

\[
\forall i: k \leq i < k + m, r_{\text{mean}} = \frac{y(i) - y(k)}{t(i) - t(k)} \quad \text{........ (6)}
\]

Inserting, \( k = jm \), from equation (6) it follows:

\[
\forall i: mj \leq i < mj + m, r_{\text{mean}} = \frac{y(i) - y(jm)}{t(i) - t(jm)} \quad \text{.... (7)}
\]

For every \( j \)th window of size \( m \), \( r_{\text{mean}} \) is calculated using the above formula.
Next we discuss some special cases in the proposed recovery mechanism.

**Case i=k**

For every first sample in a window, it can be seen that i=k. Inserting i=k in equation (6), it follows:

\[ r_{\text{mean}} = \frac{y(k) - y(k)}{t(i) - t(k)} = 0 \]

Thus the weight age of \( r_{\text{mean}} \) is reduced in error detection and recovery. So \( r_{\text{mean}} \) is calculated as follows:

\[ r_{\text{mean}} = \frac{y(i) - y(k - \frac{m}{2})}{t(i) - t(k - \frac{m}{2})} \]

\[ \text{………………… (8)} \]

**Minimum and Maximum Window Size**

From equation 7, if \( m=2 \), the \( r_{\text{mean}} \approx r_{\text{inst}} \), thus we can expect a large estimated bias in the presence of a large faulty band.

If moving window size (m), is very large, first point of slope for \( r_{\text{mean}} \) remains constant for a large duration. Thus:

\[ r_{\text{mean}} \approx \frac{y(i) - \text{const}}{t(i) - \text{const}} \]

\[ \text{……………………………… (9)} \]

Thus from equation (9), it can be inferred that as \( t_{i} \) increases \( r_{\text{mean}} \) reduces and again the weight age of \( r_{\text{mean}} \) is reduced in the error detection and recovery mechanism. There are chances that more faulty data is used in the true functionality that may lead to failure.

Hence the choice of window size m is a trade off between avoiding faulty data and reducing too much estimation bias if fault bandwidth is large. For the ultra sonic sensor of Lego NXT case study, a moving window of size \((m=4)\) or \((m=5)\) provides optimal results.
In order to provide better test coverage, the ultrasonic sensor data has been injected with periodic noisy bursts and random spikes. The frequency of these noisy spikes is controlled by modulo-n of a random number. It has been observed that mission critical failures are avoided using the proposed strategy with much higher confidence level.

The feedback from this initial case-study has led us to apply the same strategy to more complex applications involving the university’s Merlin 521 Flight simulator. The intention is now to design and implement an aspect oriented protective wrapper that will allow students to experience physical motion within the flight simulator, under the control of their own designed autopilot, with much reduced physical risk. This further probes the need for incorporating an error masking strategy like Recovery Blocks and N-Version Programming. An aspect oriented design version of these strategies is also under consideration.

9. References